

Ultra-incompressible High-Entropy Diborides

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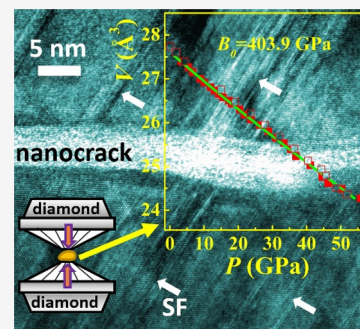


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Supporting Information

ABSTRACT: Transition metal borides are commonly hard and incompressible, offering great opportunities for advanced applications under extreme conditions. Recent studies show that the hardness of high-entropy borides may exceed that of their constituent simple borides due to the “cocktail effect”. However, how high-entropy borides deform elastically remains largely unknown. Here, we show that two newly synthesized high-entropy diborides are ultra-incompressible, attaining ~90% of the incompressibility of single-crystalline diamond and exhibiting a 50–60% enhancement over the density functional theory predictions. This unusual behavior is attributed to a Hall–Petch-like effect resulting from nanosizing under high pressure, which increases the bulk moduli through dynamic dislocation interactions and creation of stacking faults. The exceptionally low compressibility, together with their high phase stabilities, high hardness, and high electric conductance, renders them promising candidates for electromechanics and microelectronic devices that demand strong resistance to environmental impacts, in addition to traditional grinding and abrading.



Many transition metal borides have high melting points, high hardness, high chemical stabilities, and good electric conductivities.^{1–3} Thus, they have great potential for applications demanding exceptional mechanical performance and ultrahigh chemical stabilities, such as rock and mineral drill bits, tram and train wheels, wearing-resistant parts of micro-electronic devices, and protecting layers of spacecrafts. With the successful synthesis of the first high-entropy oxide MgCoNiCuZnO₅,⁴ other high-entropy compounds,^{5–7} including high-entropy borides (HEBs),⁸ emerged. Studies^{8–13} showed that the hardness of HEBs is higher than the average hardness of their component borides due to the “cocktail effect”.^{14,15} For instance, (Mo_{0.2}Zr_{0.2}Ta_{0.2}Nb_{0.2}Ti_{0.2})B₂ has a Vickers hardness of 23.7 GPa, which is much higher than the average hardness (18.4 GPa) of its component diborides.⁸ Thus, via a change in the chemical compositions of HEBs, it is possible to achieve optimal material properties and functions.

Although the plastic deformation of HEBs can be measured routinely (typically represented as the hardness), their elastic deformation behaviors remain largely unknown. In addition, lacking also is knowledge of their phase stabilities at high pressures, which is essential to their applications that include exposure to high degrees of impact and friction. Thus, in this work, we used synchrotron HP-XRD to study the compressibility and phase stabilities of two new HEBs: a quinary (Ta_{0.2}Nb_{0.2}Zr_{0.2}Cr_{0.2}Ti_{0.2})B₂ and a senary (Ta_{0.167}Nb_{0.167}Zr_{0.167}Hf_{0.167}Ti_{0.167}Cr_{0.167})B₂. We found that both HEBs are ultra-incompressible (bulk moduli of ~400 GPa), and both are structurally stable at pressures of ≲50 GPa. These outstanding features make them excellent candidates for applications requiring extremely high mechanical performance.

The two new HEB samples were synthesized using the spark plasma sintering (SPS) method (details of the synthesis in the Supporting Information). Their XRD patterns match that of a hexagonal structure quite well (Figure 1a,b),¹⁶ indicating that the two synthesized samples were single phases. Rietveld fitting (Figure 1a,b) of the XRD patterns gave the lattice parameters of a hexagonal structure (space group *P6/mmm*): for the quinary HEB, $a = 3.100 \pm 0.001$ Å and $c = 3.336 \pm 0.002$ Å, and for the senary HEB, $a = 3.094 \pm 0.001$ Å and $c = 3.346 \pm 0.001$ Å. Scanning electron microscopy (SEM) images of the two samples (Figure 1c,d) show that there is no phase segregation. Some voids/pores can be seen in the samples, which might form due to the volume contractions of the reactants during the syntheses. Elemental mapping using energy dispersive X-ray spectroscopy (EDS) shows that the transition metal elements are distributed evenly in the samples (Figure S1a,b). Their compositions determined via EDS (Figure S1c,d) and X-ray fluorescence (XRF) are virtually equivalent, though they deviate somewhat from the nominal values (Table S1) possibly due to the minor and unequal sublimations of the metal elements during the syntheses.

Both the Vickers hardness (H_v) and the nanoindentation (NIT) hardness (H_{NIT}) of the synthesized HEBs were measured. The results are listed in Table S2 together with

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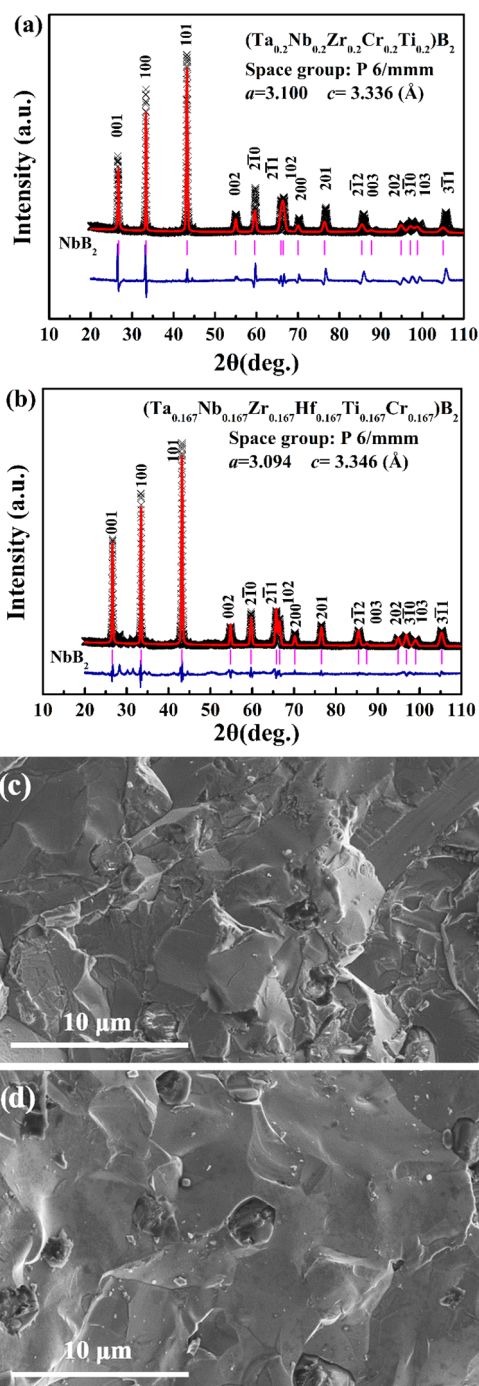


Figure 1. Ambient XRD patterns of (a) quinary HEB $(\text{Ta}_{0.2}\text{Nb}_{0.2}\text{Zr}_{0.2}\text{Cr}_{0.2}\text{Ti}_{0.2})\text{B}_2$ and (b) senary HEB $(\text{Ta}_{0.167}\text{Nb}_{0.167}\text{Zr}_{0.167}\text{Hf}_{0.167}\text{Ti}_{0.167}\text{Cr}_{0.167})\text{B}_2$. (c) SEM image of the quinary HEB. (d) SEM image of the senary HEB. In panels a and b, black lines denote experimental data, red lines Rietveld fitting, blue lines differences between the data and the fittings, and pink lines peak positions with various indices from ref 16. The X-ray wavelength is 1.54056 Å.

literature values of their constituent simple diborides.^{1,2} Due to the existence of voids/pores in the samples, some measurements underestimated the H_v values of the HEBs, especially the senary HEB. By referring to the average H_v of their constituent simple diborides (Table S2), we infer that the H_v values of the HEBs measured at a 2.0 N loading (~ 28 GPa for the quinary and ~ 24 GPa for the senary) are close to the true values. As

nanoindentation can largely avoid indenting on sample voids/pores, H_{NIT} should be more intrinsic to the HEBs. Multiple nanoindentation tests were conducted to reduce the statistical errors (Tables S3 and S4). The results (Table S2) show that the H_{NIT} of the quinary HEB is 37.5 GPa, apparently higher than the average value of its constituent diborides (32.0 GPa), while that of the senary HEB is 32.2 GPa, close to the average value of its constituent diborides (32.6 GPa). Their H_{NIT}/H_v ratio (~ 1.34) is comparable to a previously reported value (1.25¹⁷). The measured Young's modulus (E) of the quinary HEB is significantly higher than the average value of the constituent diborides (Table S2). Thus, the "cocktail effect"^{14,15} is more obvious in the quinary HEB than in the senary one.

Synchrotron in situ HP-XRD was used to study the phase stabilities and the elastic deformation behaviors of the two new HEBs. A diamond anvil cell (DAC) was used to generate high pressures on a HEB sample.¹⁸ HP-XRD patterns of the quinary and senary HEBs are shown in Figure 2a–d. For the quinary HEB (Figure 2a), the XRD pattern at the initial pressure (0.9 GPa) is consistent with that at ambient pressure (Figure 1a). However, from ~ 0.9 to ~ 15.1 GPa, the (001) peak is quite weak, possibly due to a preferred orientation of the sample crystallites whose (001) planes are mostly parallel to the diamond culet surface. This is proved by examining the original XRD image as shown in Figure S2a (at 9.8 GPa). One can see that there are barely diffraction rings or spots from the (001) and (002) planes. This indicates that, under compression, the relatively large sample grains produced by manual grinding tended to orient collectively such that their hexagonal stacking planes inclined to lay down on the diamond culet surface. As a result, no (or rare) diffraction from these planes could be produced.

When the pressure was increased to ~ 18 GPa, the (001) peak intensity began to increase (Figure 2a). This can be attributed to the formation of nanocrystallites through nanocracking and then grain rotation while under HP compression.^{19,20} This is supported by the original XRD images like the one at 52.7 GPa (Figure S2b), which shows that there are obvious diffraction rings from the (001) and (002) planes. In comparison, the (001) and (002) peaks of the senary HEB are more apparent (Figure 2c,d and Figure S2c,d), because the sample was rotating during the XRD measurements to reduce the adverse effect of the preferred orientation on the diffraction intensity (see the Supporting Information).

Under compression of the quinary sample up to 52.7 GPa (Figure 2a) and subsequent decompression to 0.3 GPa (Figure 2b), no new peaks appeared and no existing peaks disappeared. This indicates that the quinary HEB experienced no phase transition and hence is structurally stable at pressures of $\lesssim 53$ GPa. A similar conclusion also holds for the senary HEB at pressures of $\lesssim 56$ GPa, as seen from its HP-XRD patterns in panels c and d of Figure 2.

XRD line profile fitting was used to deduce accurate XRD peak positions and peak widths. Then, lattice parameters of the HEBs (Tables S5 and S6) as a function of pressure were derived from the positions of the (001), (100), (101), $(21(-)0)$, (200), and (201) peaks based on the relationship between the lattice spacing and the cell parameters.²¹ The following third-order Birch–Murnaghan equation of state (EOS) was used to fit the variation of the unit cell volume (V) with pressure (P):²²

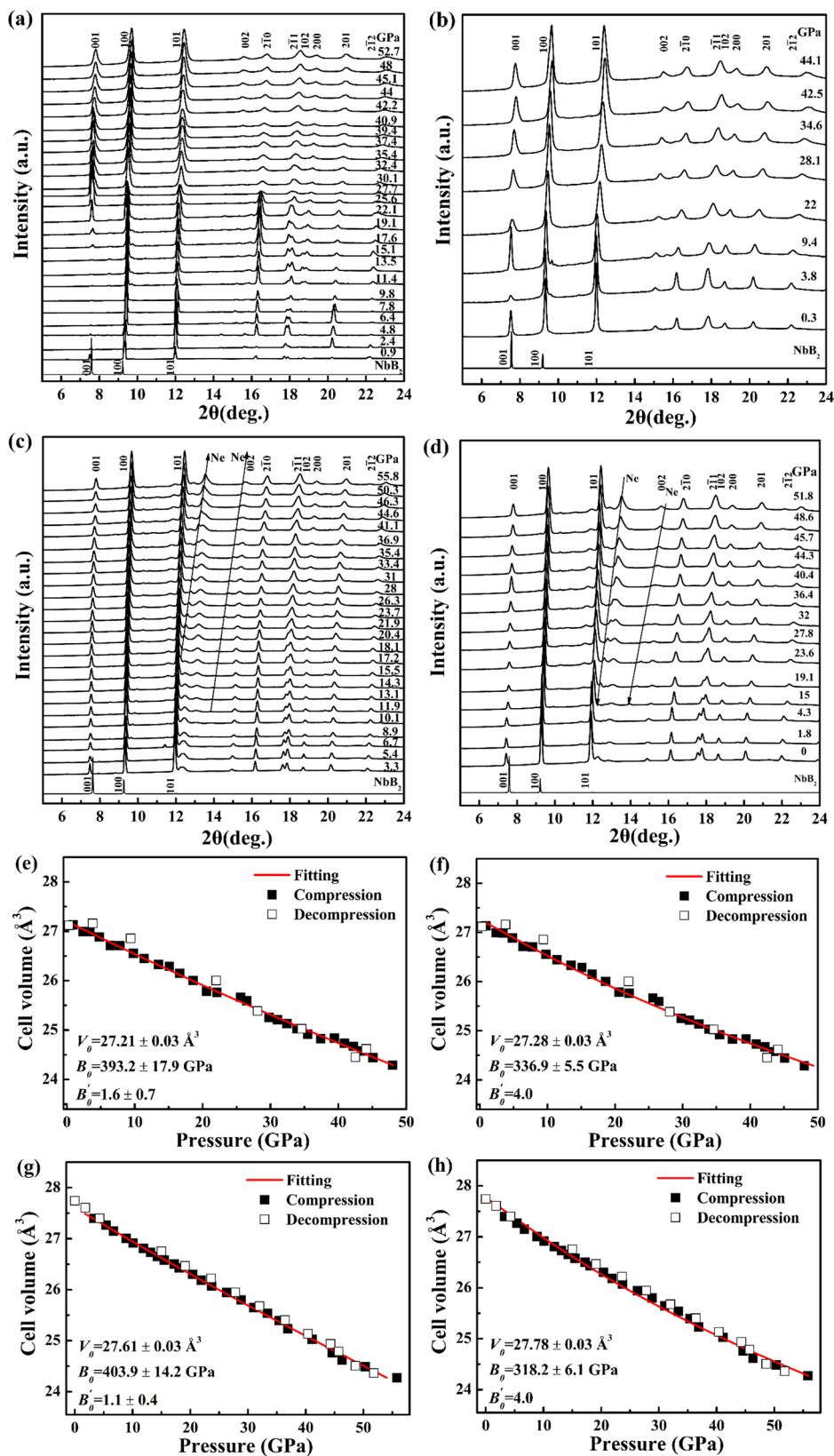


Figure 2. High-pressure XRD patterns of the quinary HEB sample under (a) compression and (b) decompression with silicone oil as the pressure-transmitting medium. High-pressure XRD patterns of the senary HEB sample under (c) compression and (d) decompression with neon as the pressure-transmitting medium. The calculated XRD pattern of hexagonal NbB₂ (using a CIF file from ref 29) is included at the bottom of each diagram for comparison. The X-ray wavelength is 0.4335 Å. Pressure dependence of the unit cell volume of the quinary HEB and its EOS fitting with (e) a fitted B'_0 and (f) a fixed B'_0 of 4. Pressure dependence of the unit cell volume of the senary HEB and the EOS fitting with (g) a fitted B'_0 and (h) a fixed B'_0 of 4. Standard errors of all determined unit cell volumes are within 0.02 Å³.

$$P(V) = 1.5B_0 \left[\left(\frac{V_0}{V} \right)^{7/3} - \left(\frac{V_0}{V} \right)^{5/3} \right] \left\{ 1 + \frac{3}{4}(B'_0 - 4) \left[\left(\frac{V_0}{V} \right)^{2/3} - 1 \right] \right\} \quad (1)$$

where B_0 , B'_0 , and V_0 are the bulk modulus, its pressure derivative, and the unit cell volume at ambient pressure, respectively. For most bulk materials under hydrostatic compression, B'_0 is commonly fixed at 4.0 in fitting.²³ However, tests showed that fitting of the unit cell volumes of the HEBs with a variable B'_0 produced a much lower residual than constraining B'_0 to 4.0 (e.g., for the senary HEB with and without fixing B'_0 to 4.0, the corresponding residual sums of squares are 27.61 and 10.24 Å⁶, respectively). In addition, as shown in Figure S3, the trend lines describing the variations of the normalized pressures versus the Eulerian strains for the two HEBs are slanted (nonhorizontal), further confirming their B'_0 values are not equal to 4.0.²⁴

Figure 2e–h shows the EOS fitting. For the quinary HEB, the derived bulk moduli are $B_0 = 393.2 \pm 17.9$ GPa with a fitted B'_0 of 1.6 ± 0.7 and $B_0 = 336.9 \pm 5.5$ GPa if one sets B'_0 to 4. For the senary HEB, the derived bulk moduli are $B_0 = 403.9 \pm 14.2$ GPa with a fitted B'_0 of 1.1 ± 0.4 and $B_0 = 318.2 \pm 6.1$ GPa if one sets B'_0 to 4. It is surprising to see that the bulk moduli of the two HEBs are extremely high (~ 400 GPa) when using a variable B'_0 , which are $\sim 90\%$ of the B_0 of a single-crystal diamond (443 GPa²⁵) and are apparently higher than that of superhard nanocrystalline cubic BN (375 GPa at $B'_0 = 2.3$).²⁶ Due to these facts, the two HEBs can be classified as ultra-incompressible, just as the OsB₂ compound whose $B_0 = 395$ GPa ($B'_0 = 1.4$).²⁷ Even if with a fixed B'_0 of 4, the bulk moduli of the two HEBs are still comparable to that of the high-pressure phase TiO₂–OII whose $B_0 = 312$ GPa.²⁸ For these ultra-incompressible HEBs, the difference between the hydrostaticity of the used silicone oil (for the quinary HEB) and the neon pressure medium (for the senary HEB) becomes insignificant. Thus, the large deviation of the fitted B'_0 (1.1–1.6) from the common value of 4.0 for bulk materials indicates that these HEB materials exhibit compression behaviors that are different from those of most bulk materials.

We conducted extensive density functional theory (DFT) calculations of the compressibilities of the HEBs, attempting to rationalize their measured extremely high bulk moduli. First, the bulk moduli of a series of simple diborides were calculated to gauge the computational methods (Table S7) (details of the calculations are available in the Supporting Information). The root-mean squares (RMS) of the differences between the DFT predictions and the experiments are similar for all computations (RMS ranging from ~ 20 to 27 GPa). This indicates that all computational methods, including CASTEP,³⁰ CP2K,³¹ and Quantum ESPRESSO,³² used in this work and those in the literatures^{2,33,34} are comparable. Excluding WB₂, OsB₂, and ReB₂, we found that the average computed bulk moduli agree with the average experimental ones within a deviation of 20 GPa, confirming the reliability of the DFT calculations. On this basis, the averaged DFT-predicted bulk moduli of the quinary and senary HEBs, 257 and 254 GPa, respectively (Table S7), should be close to the true B_0 values of bulk crystallites of the HEBs. However, the experimentally determined B_0 values, 393 GPa for the quinary HEB and 404 GPa for the senary HEB (with a fitted B'_0), are approximately 50–60% higher than the DFT-predicted

values. We infer that the Hall–Petch-like (HPL) effect^{35–37} known to increase the incompressibility of nanocrystallites accounts for the exceptionally high B_0 of the HEBs.

We used SEM and transmission electron microscopy (TEM) to examine the morphologies and crystallite sizes of the two HEB samples quenched from compression to ~ 55 GPa in a DAC. SEM images (Figure 3a and Figure S4) show the quinary samples cracked to form nano- to micrometer-sized grains at this high pressure. TEM images (Figure 3b and Figure S5) reveal that even smaller nanocrystallite domains exist in the grains, forming a peak size of ~ 50 nm in the size distributions (Figure S5). There are many nanosized cracks with widths of ~ 1 –10 nm lying between the nanocrystallite boundaries (as indicated by the arrows in Figure 3b). High-resolution TEM images further revealed that the nanocrystallites contain many stacking faults (SFs) produced by slipping of lattice planes under external compression (Figure 3c).³⁸ Similar observations hold for the senary sample (Figure 3d–f). These facts suggest that the applied external high pressure caused abundant nanocracking in the sample grains, which turned the initially micrometer-sized (bulk) sample into nanocrystallites with many SFs.

We performed finer EDS elemental mapping (on an ~ 1 μm scale) in the HEB samples before and after compressions to ~ 55 GPa to examine possible phase segregation (Figure S6). The results show that all of the metal elements were distributed evenly and no secondary phases were present.

Figure 2a–d shows that there is significant broadening in the XRD peaks with an increase in pressure, which originated from both the nanosizing and microstrain in a sample at high pressure. Hence, the Williamson–Hall analysis^{39–41} was used to derive out the average nanocrystallite size and microstrain using the pressure-dependent broadening of XRD peaks having different (*hkl*) indices (Figure S7) after subtraction of the instrumental peak broadening. There are quite high uncertainties in the derived crystallite sizes and microstrains (Figure 3g–j) due to the scattering in the full widths at half-maximum (fwhm) of the two samples (Figure S7), which is likely a consequence of the high hardness of the HEBs that hindered grinding of the samples to form very fine powders for producing ideal diffraction rings (see Figure S2). Even so, it is clear that upon being compressed to ~ 5 GPa, the HEB samples started to form nanocracking and nanocrystallites that were ~ 50 nm, which remained essentially the same size upon compression to ~ 50 GPa and subsequent decompression to ambient pressure (Figure 3g,i). This is in accord with the TEM size statistics shown in panels g and h of Figure S5. The microstrain increases with pressure in general and can be largely relieved upon decompression to ambient pressure (Figure 3h,j). The minor residual microstrain at ambient pressure is due to the nanosizing of the initially micrometer-sized crystallites.

Now it is clear that at high pressure, the quinary or senary HEB sample loaded in a DAC cracked to form nanocrystallites with an average size of ~ 50 nm. This small crystallite size triggered the HPL effect^{35,42} that enhanced the incompressibility of the HEBs by ~ 50 –60%, probably through shielding of the external pressure by dynamic dislocation creation and repulsion in the vicinity of the nanocrystallite boundaries.^{35,42} This is analogous to the Hall–Petch effect that increases the hardness of small grains via piling and interaction of edge dislocations.^{43–45} The high-density SFs in the nanocrystallites can introduce an overlapped strain field and hence also increase the elastic modulus.⁴⁶ Ideally, one could further increase the incompressibility by maximizing the Hall–Petch effect via

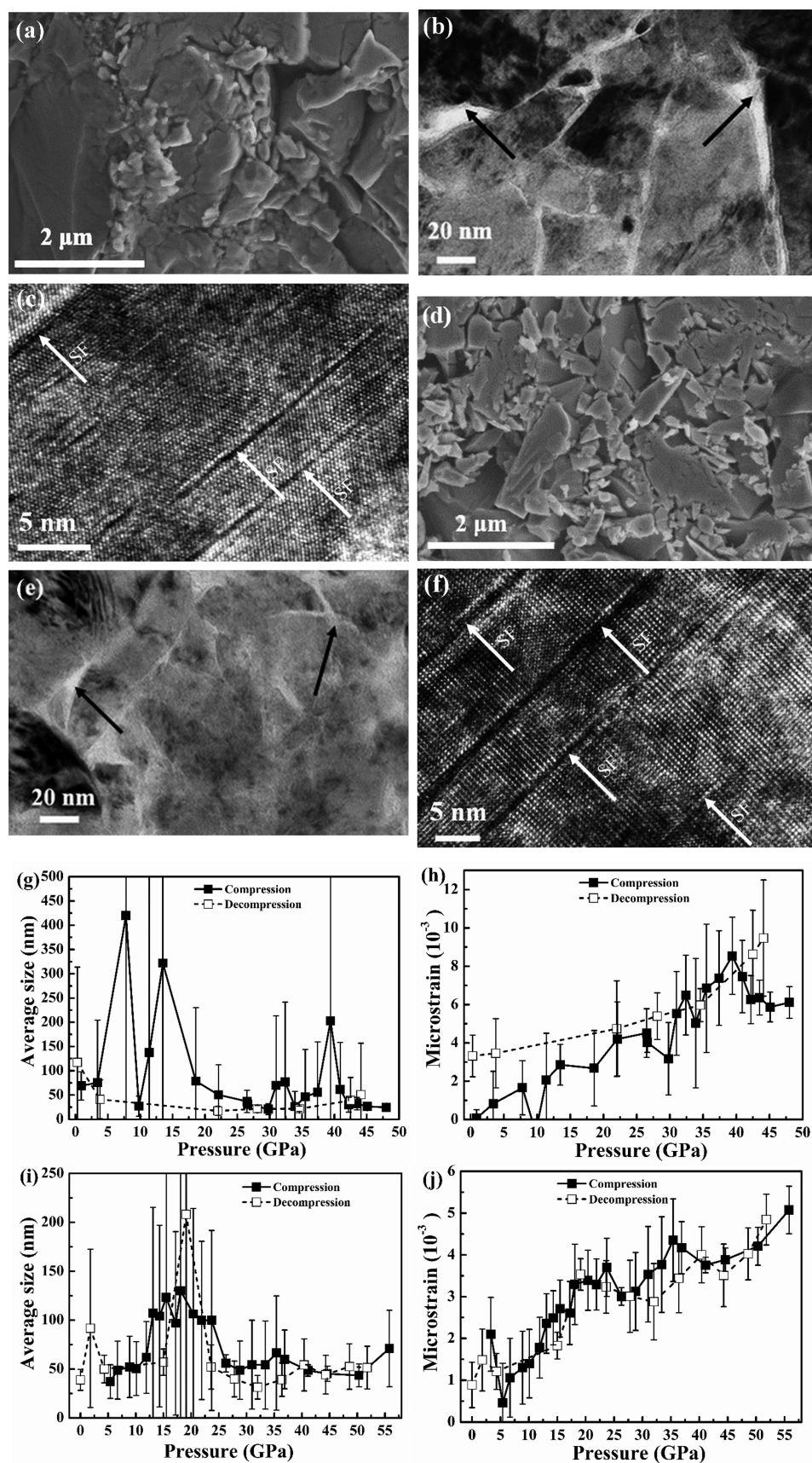


Figure 3. (a) SEM and (b and c) TEM images of the quinary HEB quenched from 55 GPa. (d) SEM and (e and f) TEM images of the senary HEB quenched from 55 GPa. (g) Average grain size of the quinary HEB. (h) Microstrain of the quinary HEB. (i) Average grain size of the senary HEB. (j) Microstrain of the senary HEB. In panels b and e, arrows point to nanocracking; in panels c and f, arrows point to stacking faults (SFs).

reducing the nanocrystallite size. However, as shown in panels g and i of Figure 3, the average size of the HP-generated nanocrystallites became rather invariant with pressure, which prevented our attempts.

The experimental bulk moduli of WB_2 , OsB_2 , and ReB_2 are also significantly higher than the DFT predictions (Table S7). This may also be due to their nanosizing at high pressures, as supported by the low B'_0 (1.4) of OsB_2 , which is close to the fitted B'_0 of our HEBs. On the contrary, the bulk moduli of the constituent simple diborides of the two HEBs are close to the DFT-predicted values (Table S7), suggesting that no nanosizing or SFs were produced in their HP experiments. Thus, we infer that the heterogeneity in the cation chemistry in the HEBs facilitated their nanosizing and SF formation. As atomic heterogeneity is common in all high-entropy compounds and alloys, nanosizing and/or SF formation may be common when they are under HP compression. Thus, our observed enhancement in incompressibility of high-entropy diborides may be common in many HP-compressed high-entropy materials.

In summary, our synchrotron high-pressure XRD study revealed that our two newly synthesized quinary and senary high-entropy diborides are ultra-incompressible, reaching ~90% of that of single-crystal diamond. SEM and TEM imaging and Williamson–Hall size–strain analyses showed that the ultra-incompressibility is due largely to the Hall–Petch-like effect that occurs in nanocrystalline materials, accounting for the 50–60% enhancement of the bulk moduli over those of their bulk counterparts. This work demonstrates a new pathway for producing ultra-incompressible and very hard materials by combining both the “cocktail effect” and Hall–Petch-like effect via nanosizing high-entropy compounds at high pressure.

■ ASSOCIATED CONTENT

SI Supporting Information

The Supporting Information is available free of charge at <https://pubs.acs.org/doi/10.1021/acs.jpcllett.1c00399>.

Details of experiments and computations, results of XRD, SEM, and EDS, Vickers hardness, nanoindentation hardness, and Young's moduli, high-pressure XRD patterns, relationship between normalized pressure and Eulerian strain, SEM and TEM images and EDS elemental mapping of samples quenched from high pressure, full widths at half-maximum of XRD peaks of samples under compression, cell parameters and unit cell volumes of samples derived from HP-XRD data, and comparisons between the bulk moduli of simple or high-entropy diborides derived from DFT calculations and those obtained from experimental determinations (PDF)

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Notes

The authors declare no competing financial interest.

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