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11, 10016Silvanite AuAgTe<sub>4</sub>: a rare case of gold  
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Gold is one of the most inert metals, forming very few compounds, some with rather interesting properties, and only a few of them are currently known to be superconducting under certain conditions. Compounds of another noble element, Ag, are also relatively rare, and very few of them are superconducting. Finding new superconducting materials containing gold (and silver) is a challenge – especially having in mind that the best high- $T_c$  superconductors under normal conditions are based upon their rather close congener, Cu. Here we report combined X-ray diffraction, Raman, and resistivity measurements, as well as first-principles calculations, to explore the effect of hydrostatic pressure on the properties of the silvanite mineral, AuAgTe<sub>4</sub>. Our experimental results, supported by density functional theory, reveal a structural phase transition at ~5 GPa from a monoclinic  $P2/c$  to  $P2/m$  phase, resulting in almost identical coordinations of Au and Ag ions, with rather uniform interatomic distances. Furthermore, resistivity measurements show the onset of superconductivity at ~1.5 GPa in the  $P2/c$  phase, followed by a linear increase of  $T_c$  up to the phase transition, with a maximum in the  $P2/m$  phase, and a gradual decrease afterwards. Our calculations indicate phonon-mediated superconductivity, with the electron–phonon coupling coming predominantly from the low-energy phonon modes. Thus, along with the discovery of a new superconducting compound of gold/silver, our results advance the understanding of the mechanism behind superconductivity in Au-containing compounds and dichalcogenides of other transition metals.

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## 1 Introduction

Both gold and silver are known as inert metals, which do not easily react with other chemical elements. Among the gold-containing compounds (which are not very common anyway), only a few are superconducting and the situation with silver-based systems is similar. Famous due to an incommensurate crystal structure, the mineral calaverite, AuTe<sub>2</sub>,<sup>1,2</sup> is a metal, but becomes superconducting<sup>3</sup> under applied pressure or when doped with Pd or Pt. Some alloys and intermetallic compounds of gold, e.g., Au<sub>2</sub>Bi<sup>4</sup> and Nb<sub>3</sub>Au<sup>5</sup> are superconducting, but superconducting compounds combining Au and non-metallic elements are in fact extremely rare. While some other systems have been theoretically predicted to be superconducting with rather high critical temperatures,<sup>6</sup> we are aware only of one more (besides the above-mentioned calaverite AuTe<sub>2</sub>) compound SrAuSi<sub>3</sub>, recently synthesised under high-pressure.<sup>7</sup> Therefore, finding new superconducting compounds of gold (and silver) is a challenge.

Whereas Cu<sup>2+</sup> is quite stable, Ag and even more so Au are rarely seen in +2 oxidation state, so that typically compounds with nominally Ag<sup>2+</sup> or Au<sup>2+</sup> ions tend to disproportionate into Ag<sup>1+</sup> + Ag<sup>3+</sup>. On the other hand, this very tendency of charge

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disproportionation could in principle be even more favourable for superconductivity: creating the states with zero and two holes in the d shell (like in  $\text{Ag}^{3+}$  or  $\text{Au}^{3+}$ ) reminds us of the tendency to form Cooper pairs, and it is often described theoretically in a “negative- $U$ ” Hubbard-like model, corresponding to an effective electron attraction, which could be beneficial for superconductivity.

Keeping all these facts in mind, we undertook a search for novel Au-containing superconductors, and indeed we found superconductivity in a “close relative” of calaverite  $\text{AuTe}_2$ , in sylvanite,  $\text{AuAgTe}_4$ , which can be considered as calaverite where half of the gold ions are replaced by silver. This rather rare mineral has a somewhat simpler crystal structure than  $\text{AuTe}_2$ : it has a layered structure like in typical dichalcogenides  $\text{MX}_2$ , but, in contrast to  $\text{AuTe}_2$ , which exhibits an incommensurate modulation in the triangular layer of, nominally,  $\text{Au}^{2+}$  (see the solution to this puzzle in ref. 2), in  $\text{AuAgTe}_4$  the Au and Ag ions are ordered in a stripy fashion, and they have practically integer valencies  $\text{Au}^{3+}$  and  $\text{Ag}^{1+}$ , though, strictly speaking, these notions may be not fully applicable in this case because the material is a metal. Nevertheless, the structural data under ambient pressure correspond to this valence assignment, and crystal chemistry indeed confirms this: Au and Ag ions sit inside Te octahedra, which are so strongly distorted that they rather resemble square coordination for Au and dumbbells for Ag, very typical for  $d^8$  and  $d^{10}$  ions. Due to the Jahn–Teller effect  $\text{Au}^{3+}$  with the low-spin  $d^8$  configuration and doubly occupied  $3z^2 - r^2$  orbital almost always prefers strongly elongated octahedra or even square coordination. The linear coordination of  $d^{10}$  ions such as  $\text{Ag}^{1+}$  or  $\text{Hg}^{2+}$  is attributed to the second-order Jahn–Teller, which describes mixing of completely filled d and empty s states (see, e.g. ref. 8 and 9).

Some of us have theoretically predicted that this structure with strongly distorted Te octahedra is unstable under pressure<sup>10</sup> and now we can confirm this experimentally: at pressures higher than  $\sim 5$  GPa the coordination of Ag and Au ions becomes almost identical. And, even more importantly, at  $\sim 1.5$  GPa this material becomes superconducting, demonstrating also an abrupt increase of the superconducting critical temperature at the phase transition.

Thus, we have found yet another chemical compound of gold (and silver) that is superconducting. Our theoretical analysis demonstrated that superconductivity here is likely of a conventional type, predominantly due to electron–phonon interactions. Eventual contribution of the “negative- $U$ ” mechanism seems to play a minor role here.

## 2 Experimental and theoretical details

### 2.1 Samples and characterization

The experiments were performed with high-quality natural single-crystals of sylvanite,  $\text{AuAgTe}_4$ .§ Custom diamond anvil

§ The XRD and resistance  $R(P,T)$  experiments were performed with a natural single-crystal of sylvanite from the classical Transylvania locality from the private collection of Ladislav Bohatý and Petra Becker–Bohatý, University of Cologne. The Raman experiment was carried out using natural single-crystals of sylvanite from the Kochbulak deposit, Kuraminsky range, Uzbekistan. XRD results for both samples are in agreement with each other.

cells (DACs) and DACs of symmetric design were used to induce high pressure, with Ne or KCl serving as a pressure-transmitting medium. The pressure was determined using the ruby R1 fluorescence line as a pressure marker, as well as the Ne unit-cell volume in the case of X-ray diffraction (XRD) studies. Single-crystal (SC) XRD experiments were performed at the 13-ID-D beamline (mainly) and the beamline 13-BM-C of the APS synchrotron (Argonne, IL, USA). Electrical resistance measurements were performed as a function of pressure and temperature using the standard four-probe method.

### 2.2 Density functional theory calculations

The Quantum Espresso<sup>12</sup> package was used to perform first-principles calculations within the density functional theory (DFT), while the superconducting properties were investigated using the EPW code.<sup>13–15</sup>

For further information on the experimental and theoretical methods see the ESI.†

## 3 Experimental results

### 3.1 X-ray diffraction

XRD patterns obtained up to about 10 GPa are shown in Fig. 1(c) (see also Table S1, ESI†). Low pressure (LP) patterns up to  $\sim 5$  GPa can be identified as a monoclinic  $P2/c$  structure,<sup>16</sup> where both Ag and Au are octahedrally coordinated by Te, but these octahedra are so strongly distorted that in fact Ag has a dumb-bell and Au a square planar surrounding, as shown in Fig. 1(a). Each Te atom is surrounded by three Au or Ag atoms and three Te atoms, and it is much closer to one of its three Te neighbors than to the other two. Therefore, there are two types of Te–Te interlayer bonds labeled as short and long in Fig. 1(a). All the Te atoms are thus members of well-defined  $\text{Te}_2$  clusters.

We note that  $\text{AuAgTe}_4$  in its natural occurrences is usually characterized by an excess of Au atoms partially substituting the Ag atoms in the Ag02 sites (see ref. 17–19 and references therein). This substitution may reach 36%, and only a substitution of 50% or more results in the formation of another gold–silver–telluride, krennerite ( $\text{Au}_3\text{AgTe}_8$ ) (see ref. 19 and ref. therein). Taking this into account, structural refinement has been made for refining the occupancy of the Ag site by assuming an Au–Ag solid solution. This refinement at all pressures suggests a partial substitution of Ag by Au resulting in a chemical composition of  $\text{Au}(\text{Ag}_{0.75(3)}\text{Au}_{0.25(3)})\text{Te}_4$ . We note that the experimentally observed Au/Ag–Te intralayer and Te–Te interlayer distances are in good agreement with those calculated using DFT for the fully stoichiometric  $\text{AuAgTe}_4$  (Fig. 2). Furthermore, the critical pressure values of the onset of the structural phase transition obtained in XRD studies (mixed Ag/Au occupation of Ag02 site), Raman measurements (were performed on very different crystals, see below and Section 2.1 of the paper) and calculated using DFT (the last two–single Ag occupation of the Ag02 site) are almost the same. This suggests that the above mentioned substitution does not have substantial effects on the structural and electronic properties of the



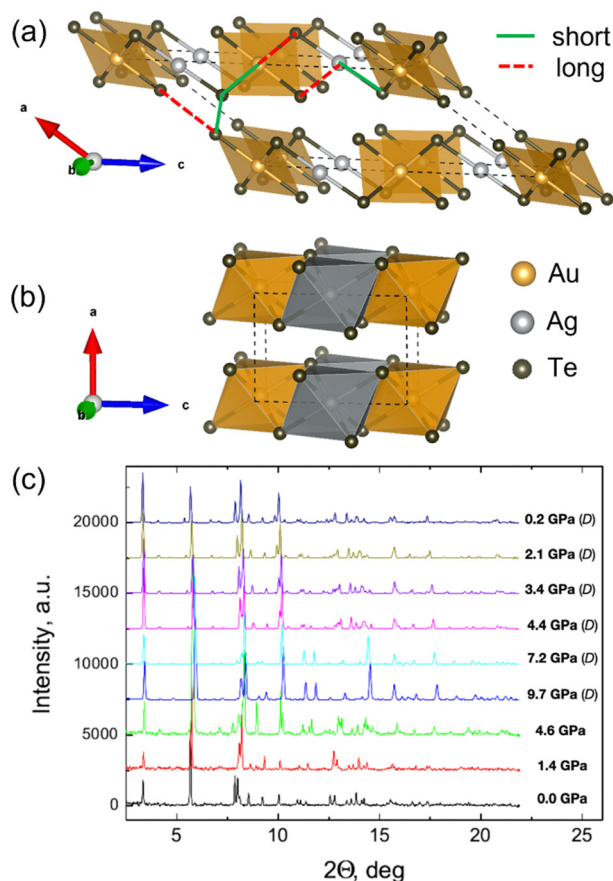


Fig. 1 Polyhedral representation of the  $\text{AuAgTe}_4$  crystal structure of the (a) low-pressure ( $P < 5$  GPa)  $P2/c$  phase (the highly distorted octahedra surrounding Au and Ag are shown as square planar and dumb-bell, respectively), and (b) high-pressure ( $P > 5$  GPa)  $P2/m$  phase drawn using VESTA<sup>11</sup> software. (c) Pressure evolution of the XRD patterns of  $\text{AuAgTe}_4$  at a compression up to  $\sim 9.7$  GPa and following decompression (D) to 0.2 GPa ( $\lambda_{\text{X-ray}} = 0.2952$  Å).

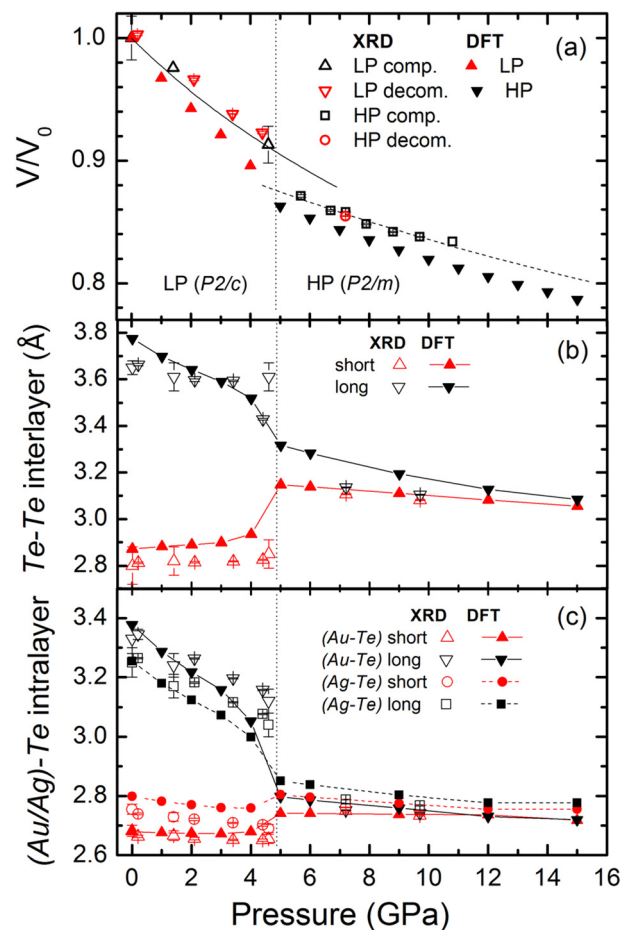


Fig. 2 (a) Pressure dependence of the unit-cell volume of  $\text{AuAgTe}_4$  determined in the single crystal and powder XRD experiments (open symbols), and the fits with the Birch–Murnaghan equation of state<sup>20</sup> are shown by lines. DFT results are presented by filled symbols. Experimentally observed (open symbols) and calculated in DFT (lines and filled symbols) pressure dependence of the (b) Te–Te interlayer and (c) (Au/Ag–Te) intralayer distances of  $\text{AuAgTe}_4$ .

sample, which is reasonable taking into account similar chemical and electronic properties of Au and Ag and minor occupancy of Au in the Ag02 site, and also structural stability of sylvanite with a significant substitution of Ag with Au in the Ag02 site (at least up to 36%<sup>19</sup>).

At  $\sim 5$  GPa, an onset of a new high-pressure (HP) phase is observed (Fig. 1(c) and Fig. S1, ESI<sup>†</sup>), whose XRD patterns could be fitted well with the more layered  $P2/m$  structure (see Tables S2 and S3, ESI<sup>†</sup>). In the HP phase the  $\text{Te}_6$  octahedra around Au and Ag become regular and practically identical, and the Te–Te interlayer distances become almost equal (Fig. 1(b) and 2(b), (c)). We note that this phase transition is in excellent agreement with the recent theoretical prediction and present DFT calculations.<sup>10</sup>

The LP phase  $V(P)$  data can be fit well with a second-order Birch–Murnaghan equation of state (BM2 EOS)<sup>20</sup> as shown in Fig. 2(a), resulting in  $V_0 = 335.3(11)$  Å<sup>3</sup> and  $K_0 = 41.1(24)$  GPa, where  $K_0$  and  $V_0$  are the bulk modulus and the unit-cell volume at 1 bar and 300 K, respectively, with the bulk modulus first derivative fixed at  $K' = 4$ . For the HP phase, the performed fit using the BM2 EOS results in  $V_0 = 307.8(25)$  Å<sup>3</sup> and

$K_0 = 81(9)$  GPa (combining both the SC refinements, and the wide images, which were collected during continuous rotation within a single exposure and were analyzed as if they were “powder” data for the HP phase). Close to the transition pressure, at 5 GPa, the unit-cell volume and bulk modulus are  $V = 303.5(8)$  Å<sup>3</sup> and  $K = 60(3)$  GPa, and  $V = 291.3(8)$  Å<sup>3</sup> and  $K = 101(9)$  GPa for the LP and HP phases, respectively. Thus, the phase transition is accompanied by a lattice volume contraction of  $\sim 4\%$  and a significant increase of the bulk modulus.

### 3.2 Raman spectroscopy

At room temperature and ambient pressure we observe almost all allowed Raman active vibrations for  $\text{AuAgTe}_4$  ( $P2/c$  space group): 7  $A_g$  and 7 weaker  $B_g$  phonon modes in the 40–160  $\text{cm}^{-1}$  range. Table 1 shows that the experimental and DFT calculated Raman frequencies are in overall good agreement.

One can see that Raman spectroscopy clearly detects structural changes above 4 GPa, see Fig. 3 and Fig. S4 (ESI<sup>†</sup>). In particular, new modes are observed at 113 and 142  $\text{cm}^{-1}$  and the intensity of



some lines ( $158\text{ cm}^{-1}$ ) changes considerably in the polarized spectra. The polarized spectra in Fig. S3 (ESI†) additionally support a structural transition in the 4–6 GPa region. In addition to the appearance of new lines in the spectrum, the frequencies of a number of lines either increase significantly ( $61$ ,  $133$  and  $158\text{ cm}^{-1}$ ) or decrease ( $147\text{ cm}^{-1}$ ) with increasing pressure, and the energies of others change nonmonotonically ( $102\text{ cm}^{-1}$ ) or increase insignificantly ( $47$  and  $121\text{ cm}^{-1}$ ) (Fig. S4, ESI†).

It is interesting that there are 7 Raman lines above the transition. This number is larger than what follows from the selection rules for the refined HP structure ( $P2/m$  space group), where there should only be 6 Raman-active modes:  $4A_g + 2B_g$ . It is well known that Raman spectra provide information not only on the long-range order (since the number of observed lines is determined by the space group of the crystal), but also on the short-range order, being sensitive to local structural distortions. Thus, the appearance of extra lines in the Raman spectra, perhaps, evidences formation of two phases in this pressure range, which is in line with the resistivity measurements discussed below. In addition, one may expect defects in natural crystal, which ensure leakage of a symmetry-forbidden line in the spectrum. In all three experiments, we obtained a somewhat broadened spectrum, compared to the initial crystal, after pressure release (Fig. 3).

### 3.3 Resistance measurements

The resistivity value of  $\text{AuAgTe}_4$  under ambient conditions was estimated to be  $\sim 3 \times 10^{-6}\ \Omega\text{ m}$ , typical of a bad metal. Under pressure  $\text{AuAgTe}_4$  shows a significant, about a factor of 30, drop in the resistance when it is compressed up to  $\sim 7$  GPa, followed by a slight increase above this (see the inset of Fig. 4).

In Fig. 5(a) we show the resistance vs. temperature dependence at various pressures for the most representative run 3 of measurements. One can see that the appreciable drop in resistance coincides with the onset of superconductivity at  $P \approx 1.5$  GPa with a superconducting critical temperature of  $T_c \approx 80$  mK. With further pressure increase,  $T_c$  increases almost linearly up to  $\approx 2.6$  K at 5.7 GPa and then decreases slowly, demonstrating a non-monotonous dome-like shape (Fig. 5(a)). Thus, we can conclude that the onset of superconductivity takes place in the LP phase of  $\text{AuAgTe}_4$  at pressures above  $\sim 1.5$  GPa. With this, starting from  $P = 4$  GPa one can clearly see a drastic change in  $R(T)$  behavior: the  $R(T)$  curves have two distinct transitions, signifying the appearance of an additional phase with a higher transition temperature ( $T_c \approx 3.5$  K at  $P = 4$  GPa). As demonstrated by our XRD data, in this pressure range a crystallographic phase transition occurs forming the HP  $P2/m$  phase. We can, therefore, interpret the double

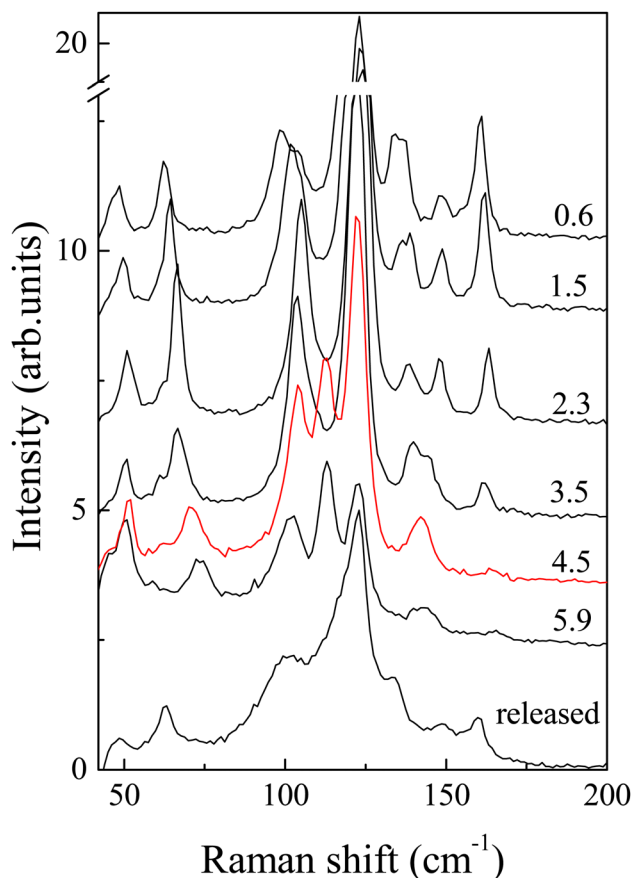


Fig. 3 Raman spectra of  $\text{AuAgTe}_4$  obtained at different pressures with 633 nm excitation in the polarized geometry. Numbers in the figure refer to pressure in GPa.

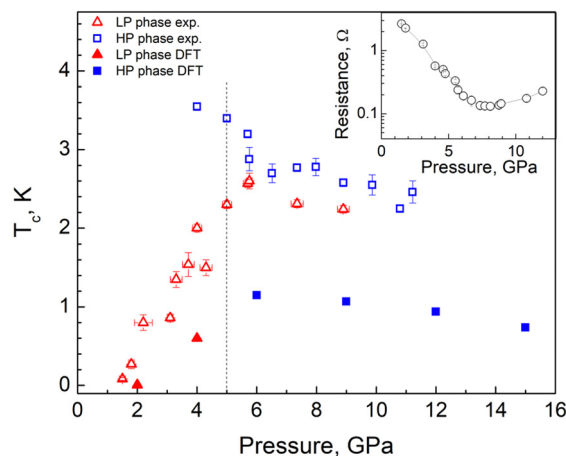


Fig. 4 Critical temperature as a function of pressure. The experimental (calculated) results are presented by open (filled) symbols. The vertical line represents the phase separation between the LP and HP phase. The pressure dependence of the room temperature resistance is shown in the inset.

**Table 1** Comparison of the experimental and calculated Raman active modes of  $\text{AuAgTe}_4$  under ambient pressure (in  $\text{cm}^{-1}$ ). Note that only 7 out of 8  $B_g$  modes have been resolved experimentally. Their frequency values can be determined with an accuracy of about  $\pm 1\text{ cm}^{-1}$  using Lorentz fits

$A_g$	Expt.	47	61	95	102	121	132	158	
	Calc.	48	60	87	98	112	124	147	
$B_g$	Expt.	50	58	—	84	88	114	134	147
	Calc.	46	52	59	83	112	129	135	147

transition as coexistence of the LP and the HP phases, both being superconducting with a higher  $T_c$  for the HP phase. The critical temperature for the HP phase decreases appreciably



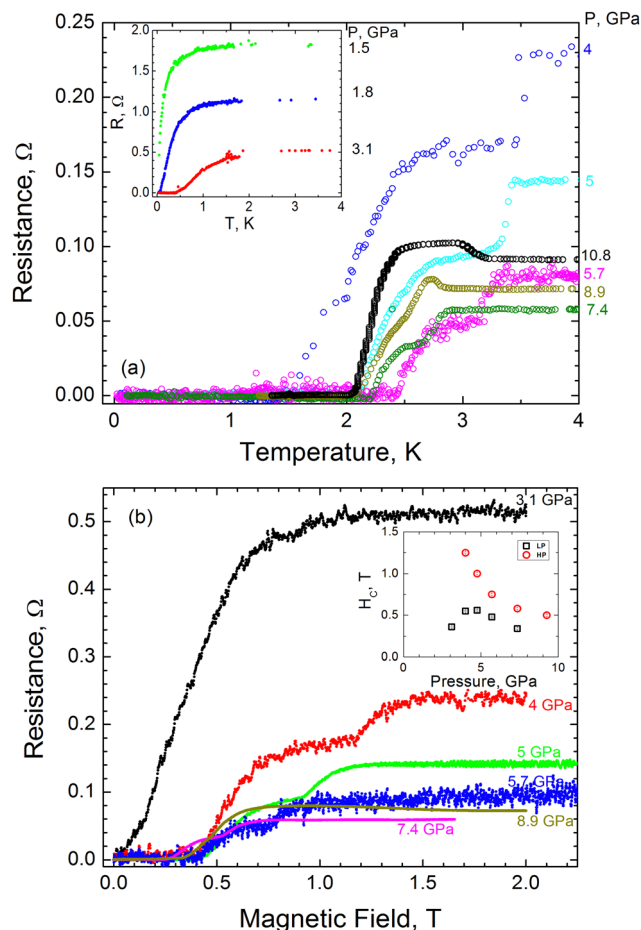


Fig. 5 (a) Temperature dependence of the resistance in AuAgTe<sub>4</sub>. The graph shows complete superconductivity transitions (resistance drops to zero). The double transitions observed in the 4 < P < 9 GPa pressure range are interpreted as a mixture of LP and HP phases. (b) Pressure dependence of the critical field of AuAgTe<sub>4</sub>. Resistance as a function of magnetic field at different pressures: from 4 to 7.4 GPa two transitions are observed. The inset shows variation in the critical field as a function of pressure.

with pressure, approaching the  $T_c$  of the LP phase. Above  $\sim 9$  GPa a single transition is observed.

In Fig. 5(b), we show magnetoresistance measurements at 2 K for run 3. From these curves we can extract the upper critical magnetic field  $H_c$  as the field at which the resistance is half of the normal state resistance. In the pressure range from 4 to 7.4 GPa, where the temperature dependence exhibits two transitions, two transitions are observed in the magnetic field. These measurements are consistent with our interpretation that both structural phases coexist within this pressure range. The corresponding upper critical field is plotted in the inset of Fig. 5(b). Our definition of  $H_c$  is not appropriate for the pressure range where we observe a double transition (coexistence regime). In the latter case we estimated  $H_c$  as the mid-point value of each transition for each phase. The critical magnetic field was found to depend on pressure, as it varies between  $\sim 0.2$  and 0.5 T for the LP phase, and between  $\sim 1.2$  and 0.5 T for the HP phase. We note that, similar to  $T_c$ , the critical field demonstrates a non-monotonous dome-like shape in the LP phase and an appreciable decrease with pressure in the HP phase (see the inset of Fig. 5(b)).

## 4 Computational results

As discussed in previous sections, the DFT calculations describe very well the structural transition under pressure (and in fact had predicted this transition<sup>10</sup>). The calculated pressure dependence of the crystal volume, lattice parameters and interatomic distances are in good agreement with the experimental results (see Fig. 2 and Fig. S2, ESI<sup>†</sup>).

### 4.1 Electronic properties

The band structure and density of states (DOS) of AuAgTe<sub>4</sub> for the LP phase at 2 GPa and the HP phase at 6 GPa are shown in Fig. 6, and those at other pressures are given in the ESI<sup>†</sup> Fig. S5. It was shown in ref. 10 that the Te-Te dimerization at ambient pressure opens a pseudogap due to the bonding-antibonding splitting, but this pseudogap gradually closes under pressure. The largest contribution to the DOS at the Fermi level ( $E_F$ ) is provided by the Te p states. As pressure increases to 4 GPa (ESI<sup>†</sup> Fig. S5(a)), an electron-like band related to the Te p orbitals lowers in energy along the  $\Gamma$ -Z-D direction, while two hole-like bands along the  $\Gamma$ -Z and  $\Gamma$ -A-E

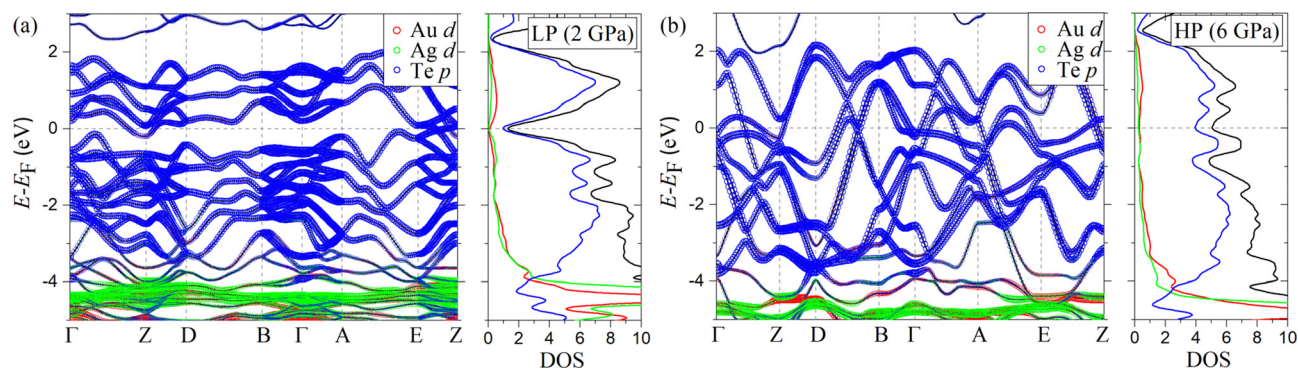


Fig. 6 Calculated band structure and density of states (DOS; in states per eV per f.u.) of AuAgTe<sub>4</sub> at (a) 2 GPa (low-pressure phase) and (b) 6 GPa (high-pressure phase). The size of the markers is proportional to the contribution of each orbital character. The solid black line in the DOS panel represents the total DOS and the red, green, and blue lines are the contributions to the DOS from the Au, Ag, and Te atoms, respectively.



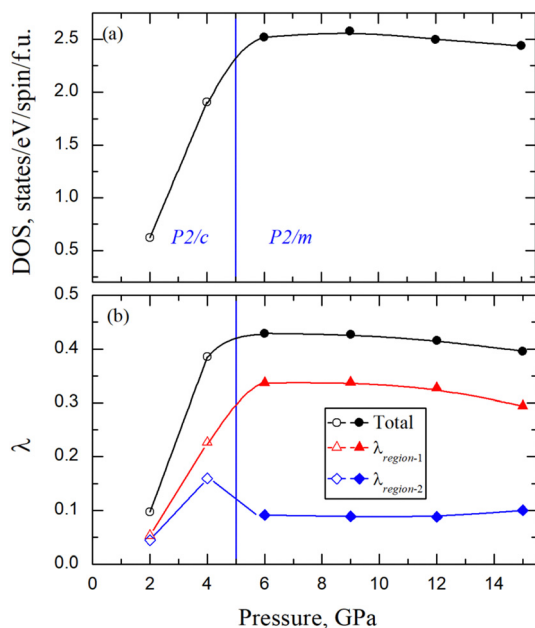


Fig. 7 Calculated (a) DOS (states per eV per spin per f.u.) at the  $E_F$  and (b) total e–ph coupling  $\lambda$  and partitioning of  $\lambda$  for two frequency regions of  $\text{AuAgTe}_4$  as a function of pressure. The region-1 and region-2 are the separations in the phonon spectrum below and above 11 meV in the LP phase and 15 meV in the HP phase. The vertical blue line represents the phase separation between the LP and HP phases. The open and closed symbols are for the LP and HP phases, respectively.

directions increase in energy and cross  $E_F$ . These changes cause a drastic increase in the total DOS at the Fermi level ( $N_F$ ), as shown in Fig. 7(a) (see also the ESI,† Fig. S5(a)). After the phase transition, the dispersion of all bands is greatly increased, while the major contribution to the DOS remains to be from the states of the Te p character (Fig. 6(b) and Fig. S5(b)–(d), ESI†). At 6 GPa, the  $N_F$  of the HP phase is 31% of that in the LP phase at 4 GPa. No significant changes are observed in the band structure of the HP phase in the considered 6–15 GPa range, leaving  $N_F$  nearly constant (see Fig. 7(a)). Overall, we observe that the Te p states provide almost 75% of the total DOS at  $E_F$  for all pressure points. We also checked the effect of spin–orbit coupling and found that the change in the total DOS near the Fermi level is negligible for both the low- and high-pressure phase (see the ESI,† Fig. S7(a)).

## 4.2 Phonons

Fig. 8 shows the phonon dispersion, the phonon density of states (PHDOS), the isotropic Eliashberg spectral function  $\alpha^2F(\omega)$ , and the cumulative electron–phonon (e–ph) coupling ( $\lambda$ ) of  $\text{AuAgTe}_4$  for the LP phase at 2 GPa and the HP phase at 6 GPa, and the other pressure points are shown in the ESI,† Fig. S6. We find that the LP phase is dynamically stable at 2 and 4 GPa, but dynamically unstable at 6 GPa. As displayed in Fig. 8(a), the phonon spectrum of the LP phase at 2 GPa is divided into two regions (region-1 and region-2) separated by a large gap around 11 meV. The PHDOS decomposed according to atomic contributions shows that the Te-derived modes

extend over the whole spectrum. On the other hand, the Au and Ag contributions to the PHDOS are dominant in region-1, while there is no contribution from either Au or Ag in the 14–18 meV range. In addition, the optical branches above 11 meV are not as dispersed as those below this threshold. At 4 GPa (ESI,† Fig. S6(a)), the frequency gap around 11 meV is closed as one optical branch couples to the modes in the lower region along the  $B\text{--}\Gamma\text{--}A$  direction. Another noticeable aspect is the softening of the low-energy acoustic branches along the  $D\text{--}B$  direction.

The HP phase is found to be dynamically stable in the 6–15 GPa pressure range considered in our study. The major contribution to the PHDOS comes from the Te vibrations, but the spectrum lacks the phonon branches solely related to the Te vibrations present in the LP phase in the 14–18 meV range, since now the long and short Te–Te interlayer distances are very close to each other (Fig. 2(b)). In addition, the vibrational modes associated with the Au and Ag atoms harden under pressure as shown in the PHDOS (Fig. 8(b) and ESI,† Fig. S6(b)–(d)). The low- and high-frequency regions (region-1 and region-2) remain separated by a small gap centered around 15–16 meV at all pressure points. As in the LP phase, the optical phonon branches are hardening under compression, while the low-energy acoustic modes along the  $D\text{--}B$  direction and at the  $E$ -point soften. As for the electronic structure, the spin–orbit coupling has a minimal effect on the phonon spectrum, as shown in ESI,† Fig. S7(b).

## 4.3 Superconductivity

In order to investigate superconducting properties, we first evaluated the isotropic Eliashberg spectral function  $\alpha^2F(\omega)$  and the cumulative e–ph coupling strength  $\lambda(\omega)$ . As depicted in Fig. 8(a), for the LP phase at 2 GPa, the low-frequency modes below 11 meV associated with vibrations from all atoms contribute 55% to the total e–ph coupling  $\lambda = 0.097$ . An almost four fold increase in the total e–ph coupling ( $\lambda = 0.386$ ) is found at 4 GPa as the DOS at the Fermi level rises by a similar factor as shown in Fig. 7. From the division of the phonon spectrum, we found that region-1 below 11 meV supplies 59% of  $\lambda$ , slightly more than at 2 GPa since now the coupling due to the acoustic modes has strengthened with the phonon softening.

In the HP phase at 6 GPa, the low-energy phonons below 15 meV (region-1) make up approximately 80% of the total  $\lambda = 0.429$  (Fig. 8(b)). A comparative analysis of the  $\alpha^2F(\omega)$  in the two phases shows that the HP phase lacks in region-2 (above 15 meV) the coupling coming solely from the Te-derived vibrations in the LP phase (14–18 meV range), leading to a factor of two reduction in  $\lambda$  in the upper frequency region. Under further compression, the ratio of the low- to high-frequency phonon contribution to the total e–ph remains nearly constant and  $\lambda$  decreases slowly following the same trend as the total DOS at the Fermi level.

Finally, to estimate the superconducting critical temperature ( $T_c$ ), we solved the isotropic Migdal–Eliashberg equations implemented in the EPW code<sup>13,14,15</sup> using a Coulomb pseudo-potential  $\mu_c^* = 0.10$ . Fig. 4 shows the calculated  $T_c$  and its comparison with the experiment. For the LP phase, we estimate



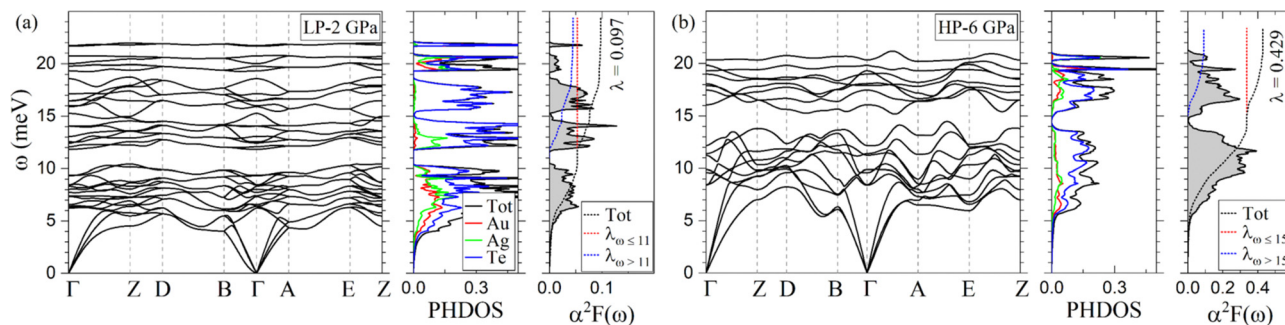


Fig. 8 Calculated phonon dispersion, phonon density of states (PHDOS), and Eliashberg spectral function  $\alpha^2F(\omega)$  of AuAgTe<sub>4</sub> at (a) 2 GPa (low-pressure phase) and (b) 6 GPa (high-pressure phase).

$T_c$  to be very close to zero at 2 GPa, consistent with the experiments at 1.8 GPa, with an increase to 0.6 K at 4 GPa. After the phase transition, we obtain a maximum  $T_c$  of 1.2 K at 6 GPa for the HP phase. In line with the resistivity measurements, the superconducting critical temperature decreases slowly in response to pressure, as shown in Fig. 4. Altogether, we find that the dome-shape behavior of the  $T_c$  mirrors the trends for the DOS at the Fermi level and the e-ph coupling strength under pressure (see Fig. 4 and 7). While our predicted  $T_c$  values are underestimated compared to the onset superconducting temperatures from the resistivity curves, they show a nice qualitative trend consistent with the experiments.

We also investigated whether the estimated critical temperature is affected when the non-local van der Waals (vdW) functional optB86b<sup>21–26</sup> is included in the DFT calculations. In the case of the LP phase, the phonons remain almost unchanged and as a result the  $T_c$  is unaffected. For the HP phase, the lowest optical phonon branches along the *D*-*B* direction soften compared to the calculations without vdW. The softening varies from 3 meV at 6 GPa to 1.5 meV at 15 GPa, respectively, and it is due to a small compression along the out-of-plane direction. This led to a modest increase in the e-ph coupling, resulting in a rise in the  $T_c$  of about 25% on average, which still falls short of the experimental values. Similar underestimation of the computed  $T_c$  has been found in other layered compounds under pressure, such as MoTe<sub>2</sub><sup>27</sup> and SnSe.<sup>28</sup> The discrepancy between experiments and computations was attributed to the sensitivity of the electronic structure to the crystal parameters,<sup>27</sup> the coexistence of multiple

phases,<sup>28</sup> and the substantial difference between the measured onset and zero resistance  $T_c$ <sup>27,28</sup> under pressure.

Finally, to gain insight into the anisotropy of the e-ph coupling, we evaluated the momentum-resolved e-ph coupling strength  $\lambda_{\mathbf{k}}$  for the LP and the HP phase at various pressures. As shown in Fig. 9(a) and (b),  $\lambda_{\mathbf{k}}$  displays a single peak with relatively weak anisotropy in the momentum space. For 6 GPa (HP phase), we also solved the anisotropic full-bandwidth Eliashberg equations<sup>29</sup> where the sparse sampling approach with the intermediate representation<sup>30–32</sup> was employed to perform the summation over the Matsubara frequencies. We found that the multiple-sheet Fermi surface gives rise to a single anisotropic gap with a distinguished peak at about 0.1 meV in the  $T = 0$  K limit, as shown in Fig. 9(c). The energy distribution of the superconducting gap reflects closely the anisotropy in  $\lambda_{\mathbf{k}}$ . We obtain an anisotropic  $T_c$  of 1.1 K for  $\mu_c^* = 0.10$ , a value identical to the one found for the isotropic gap calculation at 6 GPa.

## 5 Discussion and conclusions

Summarizing the obtained experimental data we can conclude that AuAgTe<sub>4</sub> undergoes a first order structural phase transition from the LP *P2/c* to the HP *P2/m* phase upon pressure at about 5 GPa. This phase transition is manifested by the observed changes in XRD patterns, Raman spectra, dramatic changes of the  $R(T)$  behavior and is in excellent agreement with first-principles calculations. We note that there is no sign of

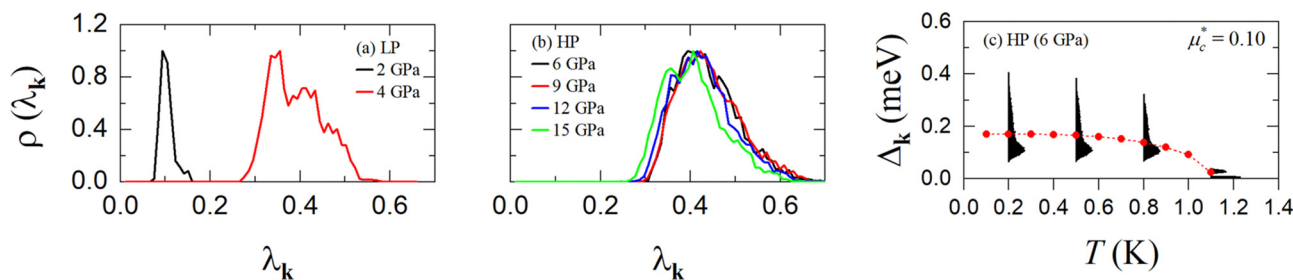


Fig. 9 Distribution of the e-ph coupling strength  $\lambda_{\mathbf{k}}$  of (a) the LP and (b) the HP phase of AuAgTe<sub>4</sub> at various pressures. (c) Energy distribution of the anisotropic superconducting gap  $\Delta_{\mathbf{k}}$  of the HP phase as a function of temperature at 6 GPa. The red symbols represent the isotropic superconducting gap at 6 GPa. The Coulomb parameter is set to  $\mu_c^* = 0.10$ .



hysteresis: upon decompression the LP phase returns at  $\sim 5$  GPa. The transition to the HP phase is accompanied by a lattice volume contraction of  $\sim 4\%$ . The LP phase is characterized by a significant distortion of the  $\text{AuTe}_6$  and  $\text{AgTe}_6$  octahedra due to the linear and second-order Jahn–Teller effect: the six Te atoms form elongated (4 short and 2 long Au/Ag–Te bonds) and compressed (2 short and 4 long Au/Ag–Te bonds) octahedra around the Au and Ag atoms. These strong Jahn–Teller distortions also give rise to two rather different Te–Te interlayer distances,<sup>2</sup> see Fig. 1(a) and 2(b).

As usual, with increasing pressure one expects suppression of the Jahn–Teller distortions and indeed the difference between the two sets of Te–Te distances is reduced and then abruptly disappears at  $\sim 5$  GPa at the transition to the  $P2/m$  structure. A similar pressure dependence is also observed for the short and long Au–Te and Ag–Te intralayer bond lengths (Fig. 2(b) and (c)). These changes are due to the sliding of the atomic layers with respect to each other during compression, leading finally to the transition to the  $P2/m$  structure, which has regular Te octahedra around Au and Ag atoms (Fig. 1(b)).

Our resistivity measurements revealed superconductivity in both  $\text{AuAgTe}_4$  phases, the LP and HP. However, the LP phase becomes superconducting only above  $\sim 1.5$  GPa and shows an almost linear increase of the critical temperature with pressure up to  $\sim 6$  GPa (with a maximum  $T_c \approx 2.5$  K) followed by a slower decrease. The HP  $P2/m$  phase, once it occurs, has a higher critical temperature of  $\sim 3.5$  K (*i.e.* even higher than in pure  $\text{AuTe}_2$ , with the maximum  $T_c \approx 2.3$  K<sup>33</sup>), and shows a trend to a sluggish  $T_c$  decrease under pressure. It is noteworthy that in the case of calaverite  $\text{AuTe}_2$  the superconductivity had been proposed to be induced by breaking of Te–Te dimers, which exist in the LP  $C2/m$  phase, but disappear in the superconducting HP  $P3m1$  phase.<sup>3</sup> Alternatively, it was also proposed that the breaking of the Te–Te dimers is not directly related to the onset of the superconductivity but that the tendency of charge disproportionation of  $\text{Au}^{2+}$  into, nominally,  $\text{Au}^{1+}$  and  $\text{Au}^{3+}$ , could be crucial in the formation of Cooper pairs leading to superconductivity under pressure.<sup>2</sup> Present results show that the situation is even more delicate here, since superconductivity appears already in the low-pressure “dimerized” phase.

Our first-principles computations demonstrate that most probably the superconductivity here is of a conventional type, with the low-energy phonon modes dominating the electron–phonon interactions. Although breaking of the Te–Te dimers is not directly responsible for the onset of superconductivity in  $\text{AuAgTe}_4$ , it results in an appreciable increase in the critical temperature following the transition into the  $P2/m$  phase. This is due to an increase in the electronic density of states at the Fermi level related to closing of the pseudogap and to the phonon softening. Overall, despite some stoichiometric distinctions in the experimentally studied and calculated systems our theoretical estimates of the superconducting critical temperature are in good agreement with the experimental results, following a similar trend under applied pressure. Meanwhile some quantitative underestimate of the computed  $T_c$  compared to the experimental one may be caused by the appearance of Au

in the Ag02 site. These findings can be important not only for silvanite, but also for other similar materials such as puzzling  $\text{IrTe}_2$ . In the case of  $\text{IrTe}_2$ , there is an anomalous structural transition at 270 K, the origin of which is debated, superconductivity induced by intercalation or doping, and complete reconstruction of the electronic structure and Ir–Ir dimerization in a monolayer.<sup>34–39</sup>

## Author contributions

Yehezkel Amiel: experiment (electrical transport measurements), data analysis, and writing – review & editing; Gyanu P. Kafle: investigation (DFT calculations), formal analysis, writing – original draft, and visualization; Evgenia V. Komleva: investigation (DFT calculations) and visualization; Eran Greenberg: experiment (XRD), data analysis, visualization, and writing – review & editing; Yuri S. Ponomov: experiment (Raman), and writing – original draft; Stella Chariton: experiment (XRD) and data analysis; Barbara Lavina: experiment (XRD) and data analysis; Dongzhou Zhang: experiment (XRD) and data analysis; Alexander Palevski: resources and writing – review & editing; Alexey V. Ushakov: investigation (DFT calculations); Hitoshi Mori: methodology and software (code development); Daniel I. Khomskii: idea and conceptualization; Igor I. Mazin, Sergey V. Streltsov, and Elena R. Margine: resources, conceptualization, writing – original draft & review and editing, and supervision; Gregory Kh. Rozenberg: conceptualization, project supervision, and writing – original draft & review and editing.

## Conflicts of interest

There are no conflicts to declare.

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